

12-20-01

A/ Re-issue

12/18/01



"Express Mail" mailing label number	EL 230527856 US
Date of Deposit	Dec. 18, 2001
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<u>GUYEN THOMAS</u> Printed Name	<u>Queen Thomas</u> Signature

11002 U.S. PTO

10/023132



12/18/01

REISSUE PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of)
 U.S. Patent No. 6,008,216)
 Applicants: Chakrabarti, et al.)
 Application No.: Unassigned)
 Filed: December 18, 2001)
 For: PROCESS FOR PREPARING 2-METHYL-)
 THIENO-BENZODIAZEPINE)
 Docket No.: G-1265I)

OFFER TO SURRENDER ORIGINAL LETTERS PATENT

Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

Eli Lilly and Company, the sole owner of the entire right, title, and interest in U.S. Patent No. 6,008,216, by virtue of the Assignment recorded in the U.S. Patent and Trademark Office at Reel 9773, Frame 0625, hereby offers to surrender United States Letters Patent No. 6,008,216 conditional upon the Reissue of said Letters Patent.

Respectfully submitted,

ELI LILLY AND COMPANY

By Robert A. Armitage
 Robert A. Armitage
 Vice President and General Patent Counsel

December 12, 2001
 Date

Please type a plus sign (+) inside this box ☐

REISSUE PATENT APPLICATION TRANSMITTAL			
Address to: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		Attorney Docket No.	G-12651
		First Named Inventor	Jiban Chakrabarti
		Original Patent Number	6,008,216
		Original Patent Issue Date (Month/Day/Year)	12/28/1999
		Express Mail Label No.	EL 230527856 US
APPLICATION FOR REISSUE OF: <input checked="" type="checkbox"/> Utility Patent <input type="checkbox"/> Design Patent <input type="checkbox"/> Plant Patent			
(check applicable box)			
APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> Transfer drawings from Patent File	
2. <input checked="" type="checkbox"/> Specification and Claims (amended, if appropriate)		8. <input type="checkbox"/> Foreign Priority Claim (35 USC 119) (if applicable)	
3. <input type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)		9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
4. <input type="checkbox"/> Reissue Oath/declaration (original or copy) (37 CFR 1.175)(PTO/SB/51 or 52)		10. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)	
5. Original U.S. Patent <input checked="" type="checkbox"/> Offer to Surrender Original Patent (37 CFR 1.178) (PTO/SB/53 or PTO/SB/54) or <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Affidavit/Declaration of Loss (PTO/SB/55)		11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Stmt. Filed in prior application, Status still proper and desired	
6. Original U.S. Patent currently assigned? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, check applicable box(es)) <input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53 or PTO/SB/54) <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney		12. <input checked="" type="checkbox"/> Preliminary Amendment	
		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
		14. <input type="checkbox"/> Other: _____ _____ _____ _____ _____	
15. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
Name		Nelsen L. Lentz	
Address		Eli Lilly and Company Lilly Corporate Center, Drop Code 1104	
City		Indianapolis	
State		Indiana	
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Fax		317-276-3861	
Name (Print/Type)		Nelsen L. Lentz	
Registration No. (Attorney/Agent)		#38,537	
Signature		Nelsen L. Lentz	
Date		December 18, 2001	

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PTO/SB/17 (12/97)

Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL		Complete if Known	
Note: Effective November 10, 1997. Patent fees are subject to annual revision.		Application Number	
TOTAL AMOUNT OF PAYMENT (\$1604.00)		Filing Date	
METHOD OF PAYMENT (check one)		First Named Inventor	Jiban Chakrabarti
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		Group Art Unit	
Deposit Account Number 05-0840		Examiner Name	
Deposit Account Name Eli Lilly and Company		Attorney Docket Number	G-1265I
2. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		FEE CALCULATION (continued)	
<input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed:		Large Fee Code Entity Fee (\$)	
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Small Fee Code Entity Fee (\$)	
FEE CALCULATION		Fee Description Fee Paid	
1. FILING FEE		105 130 205 65 Surcharge-late filing fee or oath	
Large Fee Code Entity Fee (\$)		127 50 227 25 Surcharge-late provisional filing fee or cover sheet.	
Small Fee Code Entity Fee (\$)		139 130 139 130 Non-English specification	
Fee Description Fee Paid		147 2,520 147 2,520 For filing a request for reexamination	
106 330 206 165 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 510 207 255 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 740 208 370 Reissue filing fee		115 110 215 55 Extension for reply within first month	
114 160 214 80 Provisional filing fee		116 400 216 200 Extension for reply within second month	
SUBTOTAL (1) (\$740.00)		117 920 217 460 Extension for reply within third month	
2. CLAIMS		118 1,440 218 720 Extension for reply within fourth month	
Total Claims 26 -20**= 6 X 18 = 108		128 1,960 228 980 Extension for reply within fifth month	
Independent Claims 12 -3**= 9 X 84 = 756		119 320 219 160 Notice of Appeal	
Multiple Dependent Claims (first time) X 280 =		120 320 220 160 Filing a brief in support of an appeal	
Large Fee Code Entity Fee (\$)		121 280 221 140 Request for oral hearing	
Small Fee Code Entity Fee (\$)		138 1,510 138 1,510 Petition to institute a public use proceeding	
Fee Description Fee Paid		140 110 240 55 Petition to revive-unavoidable	
103 18 203 9 Claims in excess of 20		141 1,280 241 640 Petition to revive-unintentional	
102 84 202 42 Independent claims in excess of 3		142 1,280 242 640 Utility issue fee (or reissue)	
104 280 204 140 Multiple dependent claim		143 460 243 230 Design Issue Fee	
109 84 209 42 Reissue independent claims over original patent		144 620 244 310 Plant Issue Fee	
110 18 210 9 Reissue claims in excess of 20 and over original patent		122 130 122 130 Petitions to the Commissioner	
SUBTOTAL (2) (\$864.00)		123 50 123 50 Petitions related to provisional applications	
**or number previously paid, if greater; For Reissues, see above		126 180 126 180 Submission of Information Disclosure Stmt.	
SUBMITTED BY		581 40 581 40 Recording each patent assignment per property (times number of properties)	
Typed or Printed Name Nelsen L. Lentz		146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
Signature <i>Nelsen L. Lentz</i>		149 740 249 370 For each additional invention to be examined (37 CFR 1.129(b))	
"Express Mail" mailing label number EL 230527856 US		179 740 279 370 Request for Continued Examination (RCE)	
Date of Deposit DEC. 18, 2001		169 900 169 900 Request for expedited examination of a design application	
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Printed Name <i>Queen Thomas</i>		Other fee (specify)	
Signature <i>Queen Thomas</i>		*Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$0.00)	
		Complete (if applicable)	
		Reg. Number 38,537	
		Date December 18, 2001	